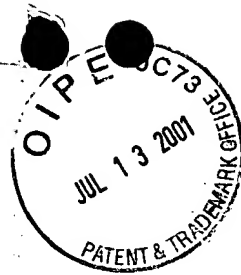




**FOLEY
HOAG**
ATTORNEYS AT LAW



Section #3

July 9, 2001

Kevin Oliver
Boston Office
617.832.1241
wdevaul@foleyhoag.com

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

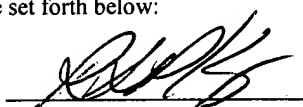

Re: U.S. Patent Application No.: **09/817,555**
Entitled: *Systems and Methods for Correcting Supply/ Demand
Imbalances in Multi-Tier Exchanges*
Filed: March 26, 2001
By: C. Speicher and J. Hirschman
Docket No.: SMK-001.01

Dear Sir:

In response to the Notice to File Missing Parts mailed May 7, 2001, Applicants enclose for filing in the above-referenced patent application the following:

1. This Missing Parts Transmittal Letter with Certificate of First Class Mailing;
2. A copy of the Notice to File Missing Parts of Nonprovisional Application;
3. 6 Sheets of Substitute Drawings in compliance with 37 CFR 1.84; and
4. A return receipt postcard.

The Commissioner is hereby authorized to charge any underpayments or credit any overpayments to our Deposit Account No. 06-1448.

Certificate of First Class Mailing	
<p>I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231 on the date set forth below:</p> <p><u>July 9, 2001</u> Date</p> <p> Robert King</p>	<p>Respectfully submitted, FOLEY, HOAG & ELIOT LLP</p> <p></p> <p>Kevin Oliver Reg. No. 42,049 Attorney for Applicants Customer ID No. 25181</p>

20/463614.1



UNITED STATES PATENT AND TRADEMARK OFFICE

SMK-00101

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,555	03/26/2001	Charles Speicher	025181

025181
FOLEY, HOAG & ELIOT, LLP
PATENT GROUP
ONE POST OFFICE SQUARE
BOSTON, MA 02109

CONFIRMATION NO. 9356

FORMALITIES LETTER



OC00000006045766

Missing Parts
DUE: 7.7.01

FINAL: 12.7.01

Correct Informalities
DUE: 7.7.01

Date Mailed: 05/07/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

07/17/2001 HADDI1 00000088 061448 09817555

01 FC:205 65.00 CH

A copy of this notice MUST be returned with the reply

RECEIVED

BB 5/14
MAY 14 2001

PATENT DEPT.
DOCKETING

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE